



ETH-5062

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of DAVID W. OVERAKER

Group Art Unit 3731

Serial No.: 10/609,336

Confirmation No. 9275

Filed: June 28, 2003

For: SURGICAL ANCHOR INSERTER

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, November 18, 2004 1 22313-1450 on

(Date of Deposit)

November 18, 2004

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, applicant and his attorney respectfully request that the following patents, copies of which are attached hereto, be made of record in the official United States Patent and Trademark Office file relating to the aboveidentified patent application. The citation of the following patents should not be construed as an admission that they constitute statutory prior art with respect to the present invention.

## U.S. Patent Nos.

4,632,100

5,207,679

5,395,372\*

5,411,523

5,584,860

6,136,001\*

Applicant's attorney notes that the patents that are designated with an asterisk, "\*", were cited in a European Search Report issued in connection with applicant's corresponding European application (i.e., EP 04 25 3819). With reference to the attached copy of the aforesaid European Search Report, applicant's attorney also notes that U.S. Patent Publication No.

2002/0143329 listed therein has already been cited to the United States Patent and Trademark

Office in connection with the present application by way of an Information Disclosure Statement filed

August 9, 2004.

The remaining patents (i.e., U.S. Patent Nos. 4,632,100, 5,207,679, 5,411,523,

5,584,860) were cited during the prosecution of co-pending co-owned U.S. patent application having

U.S. Serial No 10/112,618, entitled "Threaded Cable Anchor". In this regard, it is noted that this co-

pending application was previously cited to the United States Patent and Trademark Office in

connection with the present application by way of the Information Disclosure Statement filed August

9, 2004.

All of the above patents are in the English-language. In such circumstances, no

additional comments regarding their relevance to the present application are deemed necessary.

In order to facilitate the Examiner's citation of the patents listed above, applicant's

attorney has completed United States Patent and Trademark Office Form PTO/SB/08a (formerly

PTO-1449). The completed form is attached hereto for the Examiner's convenience.

No fees are believed to be due in connection with the submission of this

Supplemental Information Disclosure Statement. If any such fees are due, the Examiner is hereby

authorized to charge them to Deposit Account No. 501402.

Respectfully Submitted,

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449/PTO      |           |           | Complete if Known      |                           |  |  |  |
|-----------------------------------|-----------|-----------|------------------------|---------------------------|--|--|--|
| Substitute for form               | 1445/1 10 |           | Application Number     | 10/609,336                |  |  |  |
| INFORM                            | ATION D   | ISCLOSURE | Filing Date            | June 28, 2003             |  |  |  |
|                                   |           |           | First Named Inventor   | David W. Overaker<br>3731 |  |  |  |
|                                   |           | APPLICANT | Art Unit               |                           |  |  |  |
| (Use as many sheets as necessary) |           |           | Examiner Name          | To Be Assigned            |  |  |  |
| Sheet 1                           | of        | 1         | Attorney Docket Number | ETH-5062 (97017/123)      |  |  |  |

|                       |  |  |                             | DOCUMENTS  |   |
|-----------------------|--|--|-----------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup>                         | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
| _                     | 1  | <sup>US-</sup> 4,632,100                                 | 12-30-1986                  | Somers et al.                                      |   |
|                       | 2  | <sup>US-</sup> 5,207,679                                 | 05-04-1993                  | Li   |   |
|                       | 3  | <sup>US-</sup> 5,395,372                                 | 03-07-1995                  | Holt et al.  |   |
|                       | 4  | <sup>US-</sup> 5,411,523                                 | 05-02-1995                  | Goble  |   |
|                       | 5  | <sup>US-</sup> 5,584,860                                 | 12-17-1996                  | Goble et al.                                       |   |
|                       | 6  | <sup>US-</sup> 6,136,001                                 | 10-24-2000                  | Michelson  |   |
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|          | No.1 | Poleigh Patent Document   | Date                        | Applicant of Cited Document | Where Relevant Passages    |   |
|          |      | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY                  |                             | Or Relevant Figures Appear | 1 |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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